



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of: **YAMAMOTO, Teiji et al.**

Serial No.: **09/814,183**

Group Art Unit: **3677**

Filed: **March 22, 2001**

Examiner: **James R. Brittain**

P.T.O. Confirmation No.: **2817**

For. **FIXING STRUCTURE FOR FIXING A PIN TO A LINK IN A CATERPILLAR**

**INFORMATION DISCLOSURE STATEMENT AND STATEMENT**  
**PURSUANT TO 37 CFR 1.97(b)(4)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

April 23, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits following the **Request for Continued Examination (RCE)** in the above-identified patent application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures: (1) reference with partial translation



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|---|-----------------------------|-----------------------|
| INFORMATION<br>DISCLOSURE<br>CITATION<br>PTO-1449 | Atty. Docket No. 010416     | Serial No. 09/814,183 |
| Applicant(s): YAMAMOTO, Teiji et al.              |                             |                       |
|   | Filing Date: March 22, 2001 | Group Art Unit: 3677  |

#### U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Name | Date | Class | Subclass | Filing Date<br>(If appropriate) |
|------------------|--------------|------|------|-------|----------|---------------------------------|
| _____            | AA           |      |      |       |          |                                 |
| _____            | AB           |      |      |       |          |                                 |
| _____            | AC           |      |      |       |          |                                 |
| _____            | AD           |      |      |       |          |                                 |
| _____            | AE           |      |      |       |          |                                 |

#### FOREIGN PATENT DOCUMENTS

|       | Document No. | Date       | Country | Translation<br>(Yes or No)  |
|-------|--------------|------------|---------|---|
| _____ | AF 46-18569  | 06-29-1971 | Japan   | document mentioned in specification; partial translation attached |
| _____ | AG           |            |         |   |
| _____ | AH           |            |         |   |
| _____ | AI           |            |         |   |

#### OTHER DOCUMENTS

|          |    |                 |
|----------|----|-----------------|
| _____    | AJ |                 |
| _____    | AK |                 |
| Examiner |    | Date Considered |